



(19)

Europäisches Patentamt

European Patent Office

Office européen des brevets



(11) EP 0 882 449 A1

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:
09.12.1998 Bulletin 1998/50(51) Int. Cl.⁶: A61K 9/52, A61K 9/48

(21) Application number: 98109245.5

(22) Date of filing: 20.05.1998

(84) Designated Contracting States:
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE
Designated Extension States:
AL LT LV MK RO SI

(30) Priority: 03.06.1997 JP 159337/97

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(54) Sustained release capsule and method for preparing the same

(57) Disclosed is a sustained release capsule in which an outer surface of a hard capsule mainly composed of gelatin and containing a physiologically active substance is uniformly covered with a film material comprising a natural polysaccharide/polyhydric alcohol composition which is prepared by uniformly kneading at least one natural polysaccharide selected from the group consisting of carrageenan, alginic acid, salts of alginic acid, derivatives of alginic acid, agar, locust bean gum, guar gum, pectin, amylopectin, xanthane gum, glucomannan, chitin and pullulan in at least one system selected from the group consisting of polyhydric alcohols, sugar alcohols, monosaccharides, disaccharides, trisaccharides and oligosaccharides.

A capsule formed merely of the natural polysaccharide/polyhydric alcohol composition swells to be permeated by water, and is poor in shape-retaining properties, failing to retain its shape in the stomach, although it is nondigestive. However, the gelatin capsule covered with this composition prevents digestion of gelatin, can be conveyed to the small intestine without deactivation of the physiologically active substance contained therein, and can gradually release the contents in the intestine at a speed according to its purpose, so that it is useful for the effective utilization of the physiologically active substance.

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Description**FIELD OF THE INVENTION**

5 The present invention relates to a sustained release capsule which prevents a physiologically active substance from being decomposed and deactivated in the stomach and gradually releases the substance at any sites from the small intestine to the large intestine, and a method for preparing the same.

BACKGROUND OF THE INVENTION

10 Previously, means such as oral administration, anal administration and injection have been adopted in administration of physiologically active substances to the human body. For the oral administration, the substances are used in the form of capsules, tablets, granules. The substances orally administered, however, tend to be decomposed or deactivated by the action of a strong acid or an enzyme in the stomach before reaching the small intestine.

15 The stomach is an organ in which food ingested is digested. Carbohydrates in the food are decomposed to glucose through dextrin, oligosaccharide and maltose. Proteins are decomposed to amino acids through polypeptides, and fats are decomposed to glycerin and fatty acids. Although all these decomposition reactions may not always take place in the stomach, the physical, chemical and enzymic decomposition action in the stomach is considerably vigorous, which converts the food to a semiliquid rice gruel-like digest. As a result, the digestion in the duodenum and the digestion and absorption in the small intestine easily proceed. In particular, the physical decomposition action by the peristalsis of the stomach and the chemical decomposition action by the strong acidity of hydrochloric acid are non-selective, and considerably vigorous.

20 This nonselective, physical and chemical decomposition action is a negative factor to most of the physiologically active substances administered orally. That is to say, many medicines and physiologically active substances are decomposed and deactivated in the stomach, with efficacy thereof reduced abruptly.

25 Furthermore, the digest is absorbed by the small intestine. The physiologically active substances administered orally are also digested in the stomach, carried to the small intestine at a high level, and absorbed rapidly thereby, resulting in a rapid increase in blood level. It is however preferred that the speed of releasing the physiologically active substances from capsules is adjusted even in the small intestine, depending on their purpose. That is to say, for medicines which are desired to act directly on the large intestine, such as chemotherapeutics against cancer of the large intestine, the speed should be adjusted so that they can reach the large intestine with almost no absorption of the medicines by the small intestine, and for physiologically active substances desired to be swiftly absorbed by the small intestine, the speed should be adjusted so that they can be promptly released from capsules in the small intestine.

30 A technique of enclosing a physiologically active substance in a hard capsule formed of gelatin and coating an outer surface of the capsule with a specific protein having resistance to digestion with gastric juice has also been proposed. Even according to this technique, however, the capsule is digested in the stomach.

35 The present inventors have disclosed a technique comprising making a capsule perforated with numerous holes which is formed by use of a viscous solution obtained by dissolving a natural polysaccharide/polyhydric alcohol composition in water, enclosing in the capsule a physiological active substance acting on the intestines, and coating the capsule with an edible hardened fat having a melting point of 35 °C or above, in Japanese Unexamined Patent Publication No. 3-232815.

40 However, the capsule formed of the natural polysaccharide/polyhydric alcohol composition is poor in rigidity although it has flexibility, and has a tendency to physically break by the vigorous peristalsis of the stomach and intestines. In addition, the capsule perforated with numerous holes is very difficult to be produced on a commercial scale.

45 Then, a protecting means has been demanded for allowing a physiologically active substance desired to be allowed to act efficiently at any sites from the small intestine to the large intestine, which is orally administered, to pass through the stomach without damage, preventing a loss in efficacy thereof in the stomach to the utmost. That is to say, a capsule has been expected which is scarcely dissolved in the stomach but can gradually release the physiologically active substance while passing from the small intestine to the large intestine.

SUMMARY OF THE INVENTION

50 According to the present invention, there is provided a sustained release capsule in which an outer surface of a hard capsule mainly composed of gelatin is uniformly covered with a film material comprising a natural polysaccharide/polyhydric alcohol composition which is prepared by uniformly kneading at least one natural polysaccharide selected from the group consisting of carrageenan, alginic acid, salts of alginic acid, derivatives of alginic acid, agar, locust bean gum, guar gum, pectin, amylopectin, xanthane gum, glucomannan, chitin and pullulan in at least one system selected from the group consisting of polyhydric alcohols, sugar alcohols, monosaccharides, disaccharides, trisac-

charides and oligosaccharides. When a physiologically active substance is enclosed in the capsule, a large portion of the physiologically active substance remains therein after passing through the stomach, and most of the remainder is gradually released therefrom at any sites from the small intestine to the large intestine.

That is to say, in the present invention, the sustained release capsule is prepared by uniformly covering the outer

- 5 surface of the known hard capsule mainly formed of gelatin with the film material comprising the natural polysaccharide/polyhydric alcohol composition. Enzymes which digest natural high polymeric polysaccharides are not present in the digestive tracts of the human body. Further, the film material comprising the natural polysaccharide/poly-hydric alcohol composition is semipermeable, so that it becomes possible to release gradually the physiologically active substance contained in the capsule at any sites from the small intestine to the large intestine without its decomposition or deactivation, which allows the substance to act very effectively on any sites from the small intestine to the large intestine.
- 10

DETAILED DESCRIPTION OF THE INVENTION

The physiologically active substances used in the present invention mean substances exhibiting physiological activity in a broad sense, including food and medicines usefully acting on organisms.

The medicines as used herein include drugs efficacious against various kinds of diseases such as circulatory diseases, for example, cardiovascular diseases and diseases in respect to high blood pressure, respiratory diseases, gastrointestinal diseases, malignant tumors represented by cancer, and diseases caused by endocrine metabolic errors represented by diabetes.

- 20 Besides, examples of the physiological active substances in a broad sense include various hormones such as pituitary hormone, insulin, glucagon, melatonin, and cytokinin, hormone-like substances such as prostaglandin, caropeptide and kinin, and neurotransmitters such as catecholamine, indoleamine and acetylcholine and substances derived from marine organisms occurring in nature. Further, examples thereof also include useful intestinal bacteria such as Bifidobacterium and Lactobacillus, and nutrient auxiliary food such as royal jelly, ginsengs, chitosan, nanopao, taurine, lecithin, flavonoid, chlorella, fermented soybean kinase and chondroitin, as well as various vitamins and minerals.
- 25

The natural polysaccharide/polyhydric alcohol composition is obtained by uniformly kneading at least one natural polysaccharide selected from the group consisting of carrageenan, alginic acid, salts of alginic acid, derivatives of alginic acid, agar, locust bean gum, guar gum, pectin, amylopectin, xanthane gum, glucomannan, chitin, and pullulan in at least one system selected from the groups consisting of polyhydric alcohols in a narrow sense such as glycerin, ethylene glycol, propylene glycol and diglycerin, sugar alcohols, monosaccharides, disaccharides, trisaccharides and oligosaccharides. In the polyhydric alcohol system, the composition can be used as such or as a concentrated solution of 70% or more when it is liquid, and as an aqueous solution of 65% to 95%, preferably 70% to 90% when it is solid.

- 30
- 35 A viscous aqueous solution can be obtained by adjusting the concentration of an aqueous solution of the above-mentioned natural polysaccharide/polyhydric alcohol composition to a specified concentration and heating the resulting solution. The coating film strength can be increased by adding an alkali in adjustment.

Commercially available shape-retaining capsules made of gelatin or mainly composed of gelatin can be used as the hard capsules.

- 40 The sustained release capsule of the present invention is obtained by enclosing a specified amount of the physiologically active substance in the hard capsule, allowing the viscous solution of the natural polysaccharide/polyhydric alcohol composition described above to adhere to the hard capsule, and then drying it. When the viscous solution of the composition is allowed to adhere to the hard capsule, dipping, coating or other means can be used.
- 45

The amount of the natural polysaccharide/polyhydric alcohol composition applied to the outer surface of the hard capsule varies depending on the kind of capsule and physiologically active substance contained therein. However, the amount of the composition is generally from 50 parts to 1000 parts by weight, and preferably from 100 parts to 500 parts by weight per 100 parts by weight of gelatin.

- 50 When a thin film of a fat having a melting point of 40 °C or above, such as hardened oil, is formed on the outer surface of the gelatin capsule prior to coating thereof with the natural polysaccharide/polyhydric alcohol composition, the release or deactivation of the contents of the capsule in the stomach can be more inhibited. In order to form the thin film of hardened oil, an emulsifying agent such as lecithin and water or a lower alcohol are added to the fat, followed by emulsification. Then, the hard capsule is covered with the resulting emulsion by coating or spraying, and thereafter the solvent is removed by drying, or the hard capsule can also be directly immersed in the fat.
- 55

It is also effective to provide the fat layer on an outer surface of the natural polysaccharide/polyhydric alcohol composition layer.

- In some cases, it is also possible to further provide particular protein film on the outer surface of the capsule having the natural polysaccharide/polyhydric alcohol composition film to protect the capsule. The particular proteins include corn protein and wheat protein containing a large amount of gluten. The formation of the protein film not only permits an improvement in digestive resistance of the capsule in the stomach, but also heightens the commercial value by the surface treatment effect.

The term "being uniformly covered" as used herein means that there is no perforation or crack on the surface of the capsule, although some unevenness may be allowed to exist thereon. The film of the natural polysaccharide/polyhydric alcohol composition utilizes the permeability of the material for the purpose of gradually digesting the internal gelatin by the digestive juice such as the gastric juice and the pancreatic juice. Accordingly, the presence of the perforation or crack is unfavorable because it causes prompt elution of the contents.

The natural polysaccharide/polyhydric alcohol composition of the present invention is not digested, but has semi-permeability. The natural polysaccharide/polyhydric alcohol composition swells in the presence of sufficient water in the stomach, and allows the gastric juice to pass therethrough in cooperation with the semipermeability, which brings the juice into contact with gelatin of the capsule. Consequently, when the composition layer is thin or not sufficiently dense, the gelatin may be digested in the stomach to release the contents. The hard capsule made of gelatin is merely a support for the natural polysaccharide/polyhydric alcohol composition, and the physiologically active substance is released through the composition layer in the course that the capsule passes through the stomach and intestines, finally, the capsule material being crushed to a thin film piece.

15 EXAMPLES

EXAMPLES 1 AND 2 AND COMPARATIVE EXAMPLES 1 AND 2

(1) Preparation of Covering Solution Comprising Natural Polysaccharide/Polyhydric Alcohol Composition

20

Carrageenan	60 parts by weight
Glucomannan	20 parts by weight
Guar Gum	10 parts by weight
Alginic Acid	10 parts by weight

30 These substances were uniformly mixed, and 30 parts by weight of glycerin was added thereto and kneaded at room temperature ($20^{\circ}\text{C} \pm 10^{\circ}\text{C}$) to obtain a somewhat wet powdery natural polysaccharide/polymeric alcohol composition. Three parts by weight of this composition were dissolved in 97 parts by weight of water to obtain a viscous aqueous solution.

(2) Preparation of Sustained Release Capsules

40 Gelatin capsules in which Bifidobacterium longum was enclosed were covered with the viscous aqueous solution of the natural polysaccharide/polyhydric alcohol composition prepared in (1), and dried to obtain sustained release capsules of the present invention.

The gelatin capsules used above were Gelatin Capsule No. 1 manufactured by Warner Lambert Co., Ltd.

Using Bifidus "100" longum (200×10^8 viable cells /g) manufactured by Amano Pharmaceutical Co., Ltd. as Bifidobacterium longum 0.6 ± 0.05 g thereof was enclosed per capsule.

45 The capsules were each covered with the natural polysaccharide/polyhydric alcohol composition in an amount of 130 g per 100 g of gelatin by the use of a full automatic film coating device (New High Coater HCT-48N manufactured by Freund Co.) (Example 1).

Surfaces of the capsules obtained in Example 1 were each covered with corn protein at a rate of 30 g per 100 g of gelatin to obtain capsules of Example 2.

(3) Elution Test Solution (Addition of Enzyme)

50

Elution test solutions were prepared according to the Pharmacopoeia of Japan, thirteenth edition, general test methods 159 to 162. The pH of a first solution was approximately adjusted to that of the stomach, and the pH of a second solution to that of the intestines. Further, in order to approximate the actual conditions of the stomach and intestines, a gastric secretion digestive enzyme, pepsin (pepsin 1:10,000 manufactured by Wako Pure Chemical Industries Ltd.) was added to the first solution.

55 First Solution: Water was added to 2.0 g of NaCl, 7.0 ml of concentrated HCl and 1.0 g of pepsin to make the total volume to 1000 ml.

Second Solution: Pancreatin (manufactured by Wako Pure Chemical Industries Ltd.) was added to the second

solution according to the formula of an artificial intestinal juice described in "Yakugaku Dai-jiten" (Grand Dictionary of Pharmacy) (edited by Nippon Kogyo Gijutsu Renmei). That is to say, water was added to 2.8 g of Pancreatin and 15.0 g of NaHCO₃ to make the total volume to 1000 ml.

5 (4) Method of Elution Test

A beaker into which 100 ml of the first solution was poured was placed in a warm bath maintained at 37±2°C, and the 10 capsules prepared in (2) were put into the beaker, followed by continuous shaking for 2 hours (the general residence time in the stomach).

10 A beaker into which 400 ml of the second solution was poured was placed in a warm bath maintained at 37±2°C, and the five capsules tested for the first solution were put into the beaker, followed by continuous shaking for 16 hours (a time obtained by subtracting 2 hours from 18 hours, the average residence time of food in the digestive tract).

15 (5) Results of Test

The dry weight of the capsules was weighed after completion of the test in the first solution of the elution test solutions and after a total elution time of 16 hours in the second solution, and the residual ratios of the contents were calculated. As a result, Examples 1 and 2 both showed 92% to 94% by weight after the elution test of the first solution, and 12% to 15% by weight after the elution test of the second solution.

20 The similar test was carried out as Comparative Example 1 in which gelatin capsules containing *Bifidobacterium longum* were prepared similarly to Example 1 with the exception that gelatin was covered with nothing, and as Comparative Example 2 in which capsules containing *Bifidobacterium longum* were prepared similarly to Example 1 with the exception that gelatin was covered with an equivalent mixture of corn protein and wheat protein at a rate of 130g per 100 g of gelatin in place of the natural polysaccharide/polyhydric alcohol composition. The capsules of both Comparative Examples 1 and 2 immediately dissolved in the first solution to show no remains thereof. After completion of the test in the first solution of the elution test solutions, and after a total elution time of 8 hours (2 hours + 6 hours) and a total elution time of 18 hours (2 hours + 16 hours) in the second solution, respectively, the contents of the capsules were weighed, and the residual ratios thereof were calculated. Results thereof are shown in Table 1.

25 The results prove that the capsules of the present invention are high in resistance to the gastric juice and also to the intestinal juice.

EXAMPLES 3 AND 4

30 (1) Preparation of Sustained Release Capsule

35 Sustained release capsules containing *Bifidobacterium longum* of Example 3 were obtained in the same manner as with Example 1 with the exception that,

Carrageenan	20 parts by weight
Glucomannan	30 parts by weight
pullulan	20 parts by weight
Guar Gum	10 parts by weight
Alginic Acid	20 parts by weight were used.

40 50 The average weight of gelatin per capsule was 0.079 g, the average weight of the natural polysaccharide/polyhydric alcohol composition per capsule was 0.188 g, and the film thickness was approximately 400µm. Further, as Example 4, sustained release capsules containing *Lactobacillus bifidus* were obtained by covering surfaces of capsules with films of hardened oil and subsequently with the natural polysaccharide/polyhydric alcohol composition in the same manner as with Example 3. As hardened oil, Hardened Oil MR-60 (manufactured by Miyoshi Oil & Fat Co., Ltd., melting point: 60 °C) was used, and emulsified with lecithin. The resulting emulsion was sprayed, and water was removed by drying. The thickness of the fat layer was estimated to be from 50µm to 70µm.

45 55 As to the capsules of Examples 3 and 4, the number of *Bifidobacterium longum* cells was determined. With respect to the first solution, the viable cell count was measured after 2 hours. With respect to the second solution, the number

of viable cells eluted in the shaken solution was measured.

(2) Method of Measuring the Viable Cell Count

5 The measurement was carried out by the use of a BL agar medium as a culture medium (manufactured by Eiken Chemical Co., Ltd.) and Gas Pack 150TM (manufactured by Becton Dickinson and Co., Ltd.).

(3) Results of Test

10 The viable cell count was measured after the test for 2 hours in the first solution. Further, one of the capsules was transferred to the second solution to continue the test, and the number of viable cells released in the second solution was measured after respective total test times of 6 hours (2 hours + 4 hours) and 18 hours (2 hours + 16 hours). After the measurement of the viable cell count after a total test time of 6 hours, the capsule was transferred to a fresh second solution, and the test was continued. Then, the number of viable cells released in the test solution after a total test time of 18 hours was measured. Results thereof are indicated in Table 1. The capsules of Examples 3 and 4 which had been stirred in the digestive juice for a total time of 18 hours were deformed to flat and undefined floating matter. The capsules of Comparative Examples 1 and 2 showed no remains thereof.

Table 1 indicates that the sustained release capsules of the present invention have resistance to pancreatin and are ideal sustained release capsules which gradually release *Lactobacillus bifidus* in the small intestine.

20 In these Examples, *Bifidobacterium longum* was selected as a representative of the physiologically active substances, because it is an organism, and very sensitive to the temperature, the pH and water.

Accordingly, if the effectiveness of the capsules is confirmed with *Bifidobacterium longum*, we can assume that the capsules are naturally effective for foods and drugs as physiologically active substances, which are non-organisms.

25 **EXAMPLES 5 TO 7**

Influence of Film Thickness of Natural Polysaccharide/Polyhydric Alcohol Composition

Sustained release capsules different in thickness were prepared in the same manner as with Example 4 with the exception that the film thicknesses of the natural polysaccharide/polyhydric alcohol composition were 200 μm (Example 5), 500 μm (Example 6) and 800 μm (Example 7). These capsules were shaken in the first solution for 2 hours under the same conditions as with Examples 3 and 4, and subsequently shaken in the second solution for 16 hours. That is to say, the dry weight of the capsules was weighed at a start of the experiment, after shaking in the first solution for 2 hours, after shaking in the second solution for 4 hours and after shaking in the second solution for 16 hours, respectively.

35 Results thereof are shown in Table 2.

Table 2 reveals that the releasing time of the contents in the capsules can be controlled by adjusting the film thickness of the natural polysaccharide/polyhydric alcohol composition.

EXAMPLE 8

40 Human insulin (manufactured by Wako Pure Chemical Industries Ltd.) was mixed with hydrophilic cellulose as a filler, and the capsules were charged with the resulting mixture so as to give 25 i.u. of human insulin per capsule. The capsules thus prepared were covered with hardened oil and the natural polysaccharide/polyhydric alcohol composition in the same manner as with Example 6, and the resulting capsules were tested in the same manner as with Example 45 6. The dry weight of one capsule after completion of the test in the first solution was about 90% of the initial weight, and the dry weight after shaking in the second solution for 4 hours was about 40% of the initial weight. The capsules after shaking in the second solution for 16 hours were deformed to flat and undefined floating matter.

50 Table 1

Kind of Capsule	Viable Cell Count in Capsule after 2 hrs. (First Liquid)	Total Number of Viable Cells Released from One Capsule during 2-6 hrs. (Second Liquid)	Total Number of Viable Cell Released from One Capsule during 6-18 hrs. (Second Liquid)
55 Example 3 (Covered with polysaccharide)	4.8×10^8	1.8×10^8	0.9×10^8

Table 1 (continued)

Kind of Capsule	Viable Cell Count in Capsule after 2 hrs. (First Liquid)	Total Number of Viable Cells Released from One Capsule during 2-6 hrs. (Second Liquid)	Total Number of Viable Cell Released from One Capsule during 6-18 hrs. (Second Liquid)
Example 4 (Covered with polysaccharide after covered with hardened oil)	5.2×10^8	2.3×10^8	1.1×10^8
Comparative (Not covered) Example 1	Immediately dissolved	-	-
Comparative (Covered with Example 2 protein)	Soon dissolved	-	-

Table 2

Film Thickness	Weight of Capsule (g)			
	At Start of Experiment	After 2 hrs. (First Liquid)	After 6 hrs. (Second Liquid)	After 18 hrs. (Second Liquid)
Example 5 (200μm)	0.82	0.53	0.07	0.05
Example 6 (500μm)	0.97	0.75	0.37	0.19
Example 7 (800μm)	1.12	1.01	0.58	0.36

Claims

1. A sustained release capsule in which an outer surface of a hard capsule mainly composed of gelatin is uniformly covered with a film material comprising a natural polysaccharide/polyhydric alcohol composition which is prepared by uniformly kneading at least one natural polysaccharide selected from the group consisting of carrageenan, alginic acid, salts of alginic acid, derivatives of alginic acid, agar, locust bean gum, guar gum, pectin, amylopectin, xanthane gum, glucomannan, chitin and pullulan in at least one system selected from the group consisting of polyhydric alcohols, sugar alcohols, monosaccharides, disaccharides, trisaccharides and oligosaccharides.
2. A sustained release capsule as claimed in claim 1, in which a fat layer having a melting point of 40°C or above intervenes between the outer surface of the hard capsule mainly composed of gelatin and the layer of the natural polysaccharide/polyhydric alcohol composition.
3. A sustained release capsule as claimed in claim 1, in which the fat layer having a melting point of 40°C or above is provided on an outer surface of the natural polysaccharide/polyhydric alcohol composition.
4. A sustained release capsule as claimed in any one from claim 1 to claim 3, in which the surface covered with the film material comprising the natural polysaccharide/polyhydric alcohol composition is further covered with a protein film.
5. A sustained release capsule as claimed in any one from claim 1 to claim 4, in which the film material comprising the natural polysaccharide/polyhydric alcohol composition contains an alkali.
6. A method for preparing a sustained release capsule in which a physiologically active substance is inserted in a hard capsule mainly composed of gelatin, is covered, said outer surface of a hard capsule is with a film material comprising a natural polysaccharide/polyhydric alcohol composition which is prepared by uniformly kneading at least one natural polysaccharide selected from the group consisting of carrageenan, alginic acid, salts of alginic acid, derivatives of alginic acid, agar, locust bean gum, guar gum, pectin, amylopectin, xanthane gum, glucomannan, chitin and pullulan in at least one system selected from the group consisting of polyhydric alcohols, sugar alcohols,

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monosaccharides, disaccharides, trisaccharides and oligosaccharides, and dried.

7. A method for preparing a sustained release capsule as claimed in claim 6, in which the process of being covered
5 is with a film material comprising a natural polysaccharide/polyhydric alcohol composition is conducted by dipping,
coating or spraying, the viscous solution of the natural polysaccharide/polyhydric alcohol composition.

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EUROPEAN SEARCH REPORT

Application Number
EP 98 10 9245

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The present search report has been drawn up for all claims			
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X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document			



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EUROPEAN SEARCH REPORT

Application Number
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Place of search	Date of completion of the search	Examiner	
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CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons A : technological background O : non-written disclosure P : intermediate document & : member of the same patent family, corresponding document	
EPO FORM 153/02 (P04C01)			



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The present search report has been drawn up for all claims			
Place of search	Date of completion of the search	Examiner	
VIENNA	1 September 1998	MAZZUCCO	
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons S : member of the same patent family, corresponding document	
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document			